

# Liver Cancer and Liver Transplant

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# Disclosures

- I have served as a consultant or served on advisory boards for Genentech, AstraZeneca, Bayer, Eisai, Exelixis, Exact Sciences, Glycotest, Universal Diagnostics, GRAIL, Freenome, and FujiFilm Medical Sciences

# Patient case

- 54-year-old male with history of hepatitis C cirrhosis, compensated, s/p sustained virological response
- Initially was followed closely with HCC surveillance but then lost to follow-up
- Presented two years later with incidental HCC
  - Two lesions, 4.5 cm and 3 cm, (both LR-5)
- Child Pugh A – Bili 1.2, Alb 3.0, INR 1.1, platelet count 72
- AFP 12 ng/mL
- Actively working, ECOG 0

# Initial experiences with liver transplantation for HCC

ANNALS OF SURGERY  
Vol. 202  
October

Patients Who Had Liver Transplantation Because of Primary Liver Malignancy

|                                    | Timing and<br>of First | Treatment for<br>Recurrence | Organs<br>Ultimately<br>Involved by<br>Tumor | Survival     | Main Cause of<br>Death                       |
|------------------------------------|------------------------|-----------------------------|--|--------------|--|
| Group II-A: Immunosuppression with |                        |                             |  |              |  |
| OT 2                               | 48 M                   | Hepatocellular carcinoma    | —  | Died < 1 mo. | Pulmonary emboli, sepsis                     |
| OT 3                               | 68 M                   | Hepatocellular carcinoma    | —  | —            | Sepsis, pulmonary emboli, GI-bleeding        |
| OT 4                               | 52 M                   | Hepatocellular carcinoma    | —  | —            | Graft failure, sepsis, pulmonary emboli      |
|                                    | 29 F                   | Hepatocellular carcinoma    | —  | —            | Sepsis, bile peritonitis, graft failure      |
|                                    |                        |                             |  |              | Graft failure, sepsis                        |
|                                    |                        |                             |  |              | Carcinomatosis                               |
|                                    |                        |                             |  |              | Carcinomatosis, infection after retransplant |
|                                    |                        |                             |  |              | Carcinomatosis                               |
|                                    |                        |                             |  |              | Pneumonitis                                  |
|                                    |                        |                             |  |              | Carcinomatosis                               |
| OT 15                              | 43                     | Hepatocellular carcinoma    | —  | Died/2 mos.  | Bile peritonitis, sepsis, graft failure      |
| OT 17                              | 24 F                   | Hepatocellular carcinoma    | —  | Died/3 mos.  | GI-bleeding, sepsis                          |
| OT 23                              | 15 M                   | Hepatocellular carcinoma    | Lungs  | —            | —  |
| OT 25                              | 45 M                   | Hepatocellular carcinoma    | —  | —            | —  |

“It has been... to conclude that liver transplant for hepatic neoplasms is conceptually... abandon such

72% recurrence rate, recurrence-free survival... of 41 patients

**MORATORIUM ON LIVER TRANSPLANT FOR HCC**

# Early experience with liver transplantation for HCC

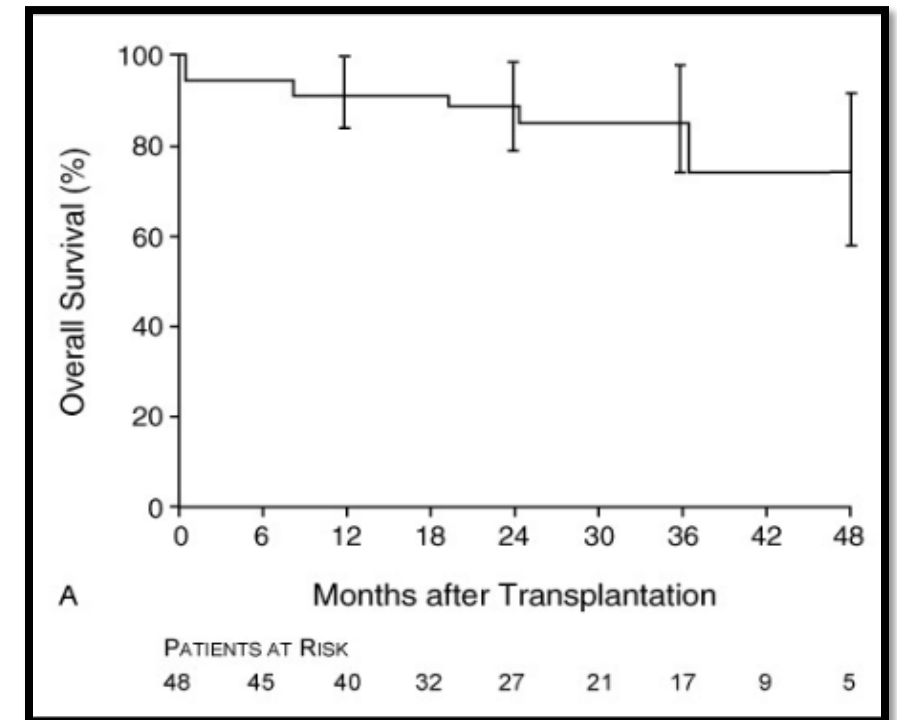
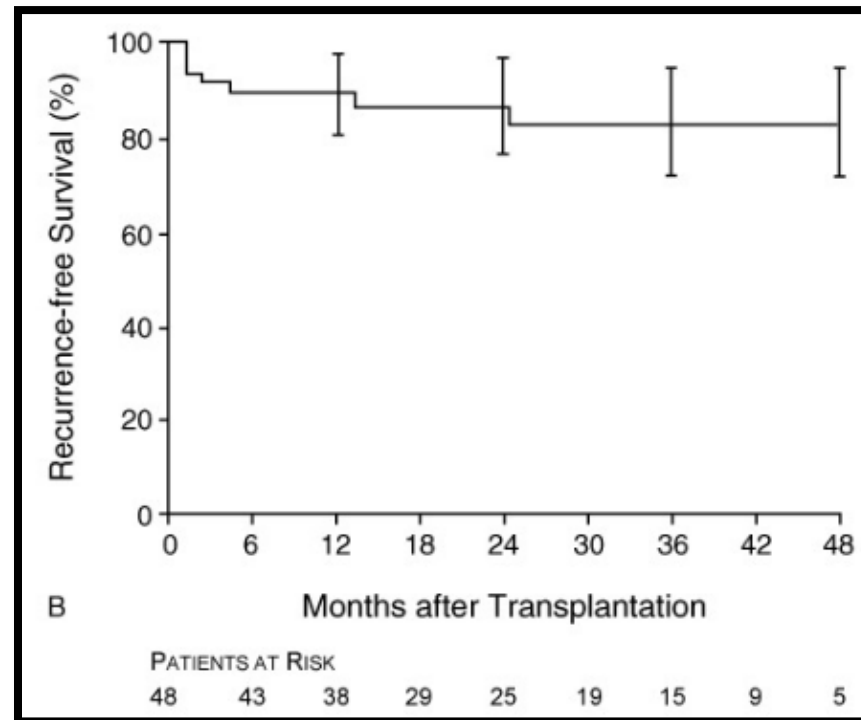
|                                   | 3 months      | 6 months      | 1 year        | 2 years       | 3 years       | 4 years       | 5 years       |
|-----------------------------------|---------------|---------------|---------------|---------------|---------------|---------------|---------------|
| <b>Total HCC</b><br>(n = 105)     | 85.7%<br>(90) | 74.3%<br>(78) | 65.7%<br>(69) | 49.0%<br>(45) | 39.2%<br>(26) | 35.6%<br>(16) | 35.6%<br>(13) |
| <b>FL-HCC</b><br>(n = 10)         | 90.0%<br>(9)  | 90.0%<br>(9)  | 80.0%<br>(8)  | 70.0%<br>(2)  | 50.0%<br>(4)  | 37.5%<br>(3)  | 37.5%<br>(3)  |
| <b>Non-FL-HCC</b><br>(n = 95)     | 85.3%<br>(81) | 72.6%<br>(69) | 64.2%<br>(61) | 46.8%<br>(38) | 38.3%<br>(22) | 36.5%<br>(13) | 36.5%<br>(10) |
| <b>Cirrhosis</b><br>(n = 71)      | 84.5%<br>(60) | 71.8%<br>(51) | 63.4%<br>(45) | 48.6%<br>(28) | 42.9%<br>(21) | 40.7%<br>(12) | 40.7%<br>(10) |
| <b>Noncirrhosis</b><br>(n = 34)   | 88.2%<br>(30) | 79.4%<br>(27) | 70.6%<br>(24) | 50.0%<br>(16) | 32.5%<br>(6)  | 26.0%<br>(4)  | 26.0%<br>(3)  |
| <b>TNM Stage I</b><br>(n = 4)     | 75.0%<br>(3)  | 75.0%<br>(3)  | 75.0%<br>(3)  | 75.0%<br>(3)  | 75.0%<br>(2)  | 75.0%<br>(2)  | 75.0%<br>(2)  |
| <b>TNM Stage II</b><br>(n = 19)   | 84.2%<br>(16) | 84.2%<br>(16) | 79.0%<br>(15) | 68.4%<br>(12) | 68.4%<br>(12) | 68.4%<br>(8)  | 68.4%<br>(5)  |
| <b>TNM Stage III</b><br>(n = 23)  | 87.0%<br>(20) | 78.3%<br>(18) | 78.3%<br>(18) | 59.8%<br>(11) | 59.8%<br>(8)  | 52.3%<br>(4)  | 52.3%<br>(4)  |
| <b>TNM Stage IV-A</b><br>(n = 59) | 86.4%<br>(51) | 69.5%<br>(41) | 55.9%<br>(33) | 36.6%<br>(15) | 16.3%<br>(4)  | 10.9%<br>(2)  | 10.9%<br>(2)  |

# Defining the Milan Criteria for Liver Transplantation

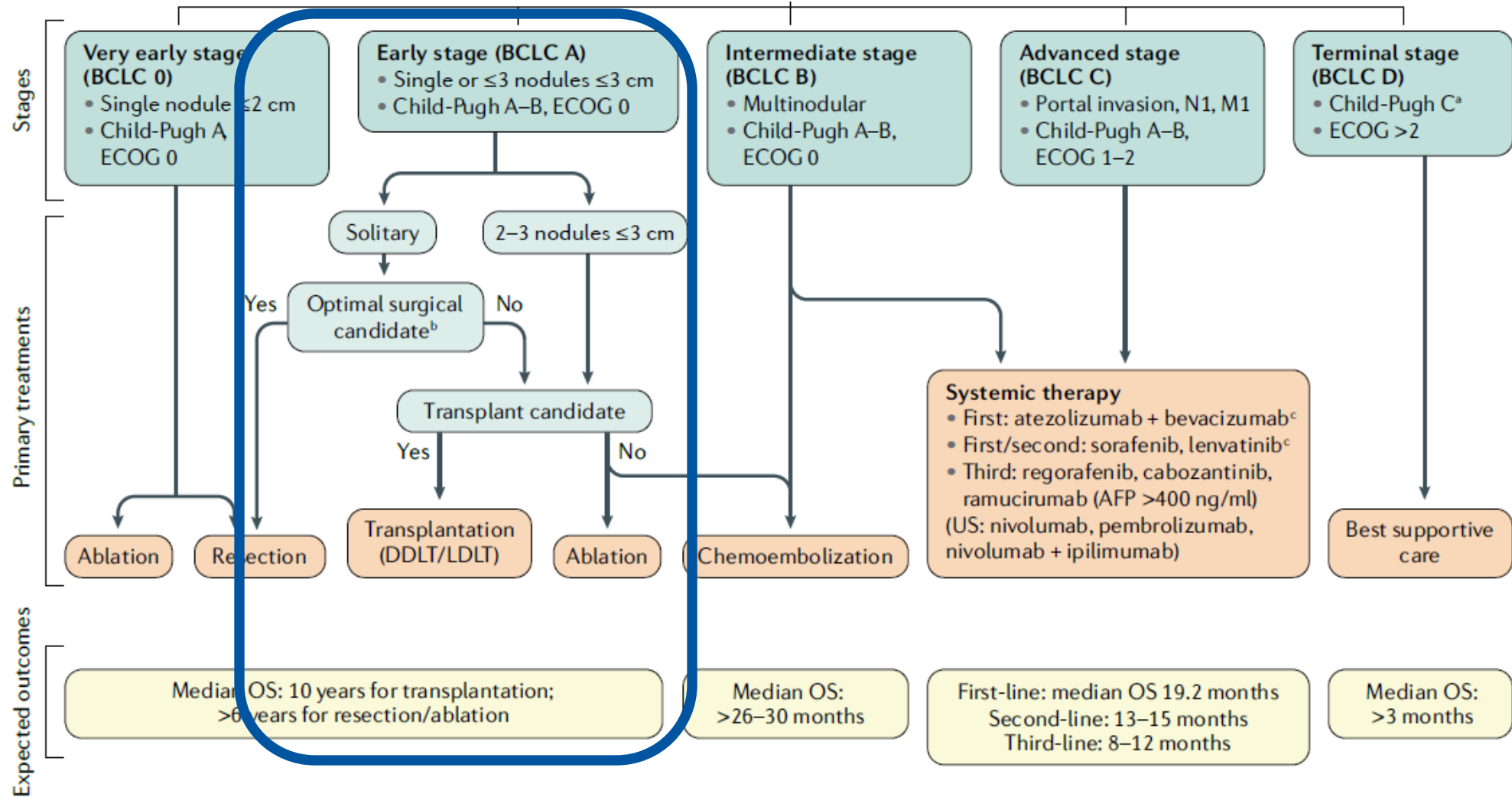
## LIVER TRANSPLANTATION FOR THE TREATMENT OF SMALL HEPATOCELLULAR CARCINOMAS IN PATIENTS WITH CIRRHOSIS

VINCENZO MAZZAFERRO, M.D., ENRICO REGALIA, M.D., ROBERTO DOGI, M.D., SALVATORE ANDREOLA, M.D., ANDREA PULVIRENTI, M.D., FEDERICO BOZZETTI, M.D., FABRIZIO MONTALTO, M.D., MARIO AMMATUNA, M.D., ALBERTO MORABITO, PH.D., AND LEANDRO GENNARI, M.D., PH.D.

- Reported outcomes among 48 patient transplanted using Milan Criteria after median follow-up of 26 months

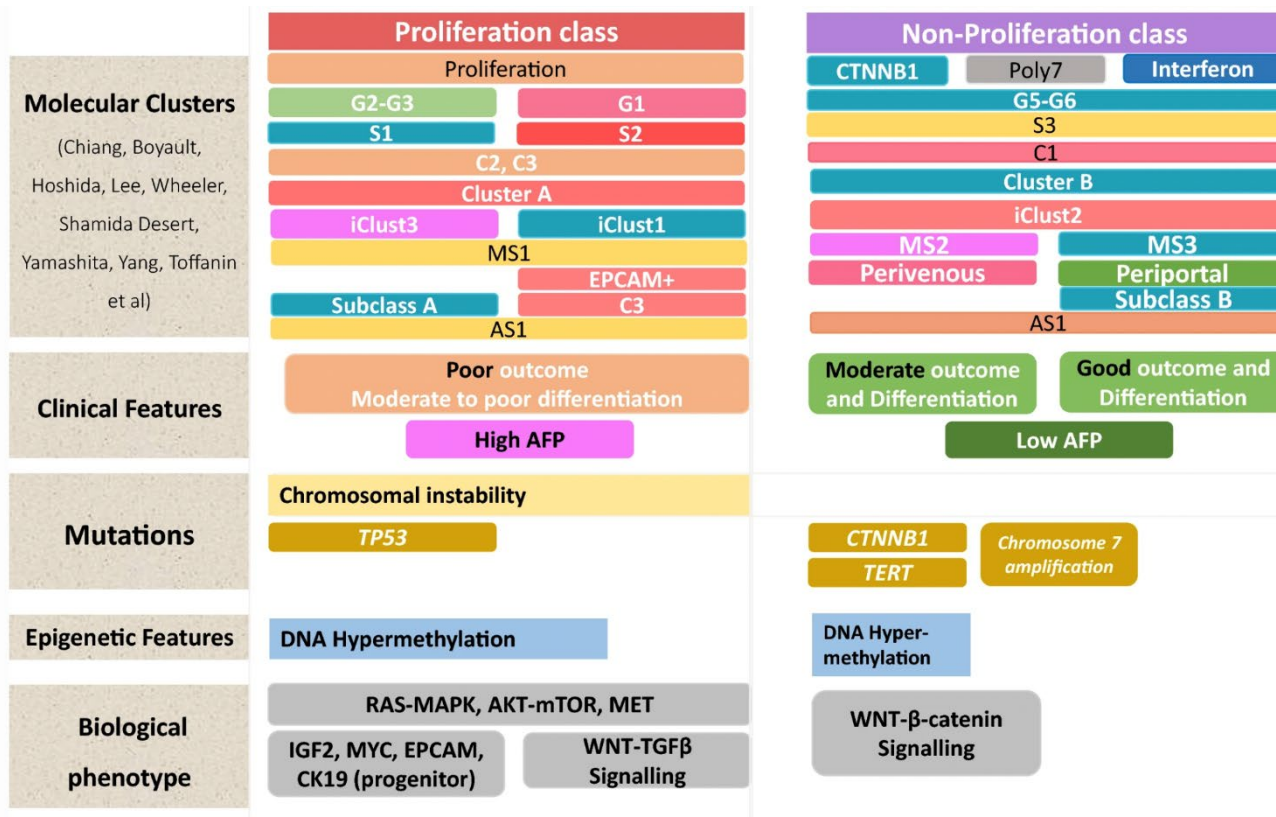


# Criteria incorporated into HCC management guidelines

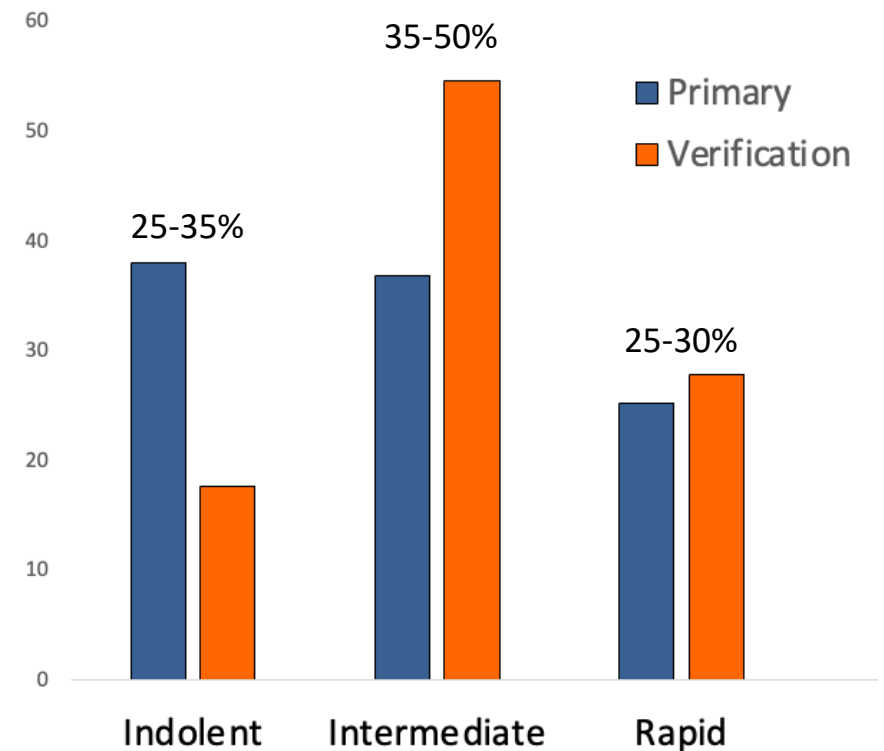


# Increasing recognition of tumor heterogeneity

- Molecular subtypes of HCC associated with clinical presentation and prognosis

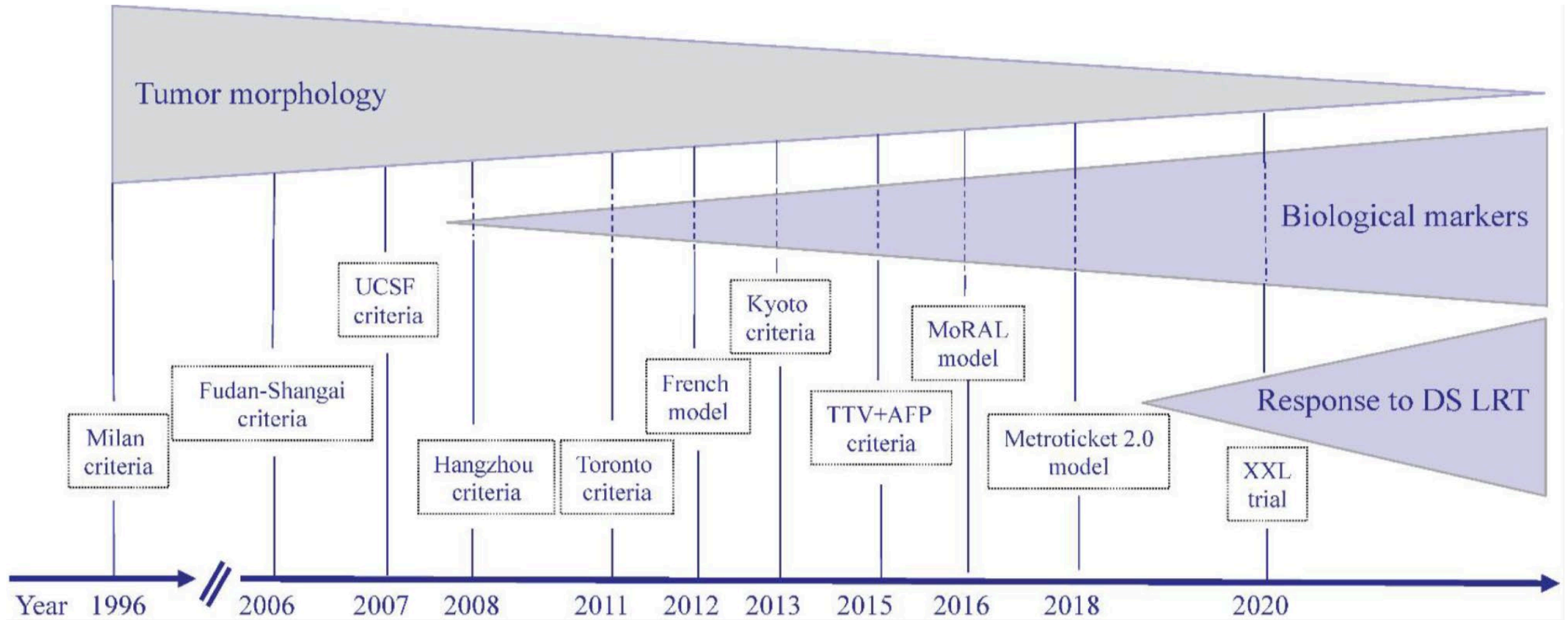


- Retrospective cohort of patients with HCC diagnosed between 2008-2017 at 6 centers
- Results confirmed in meta-analysis

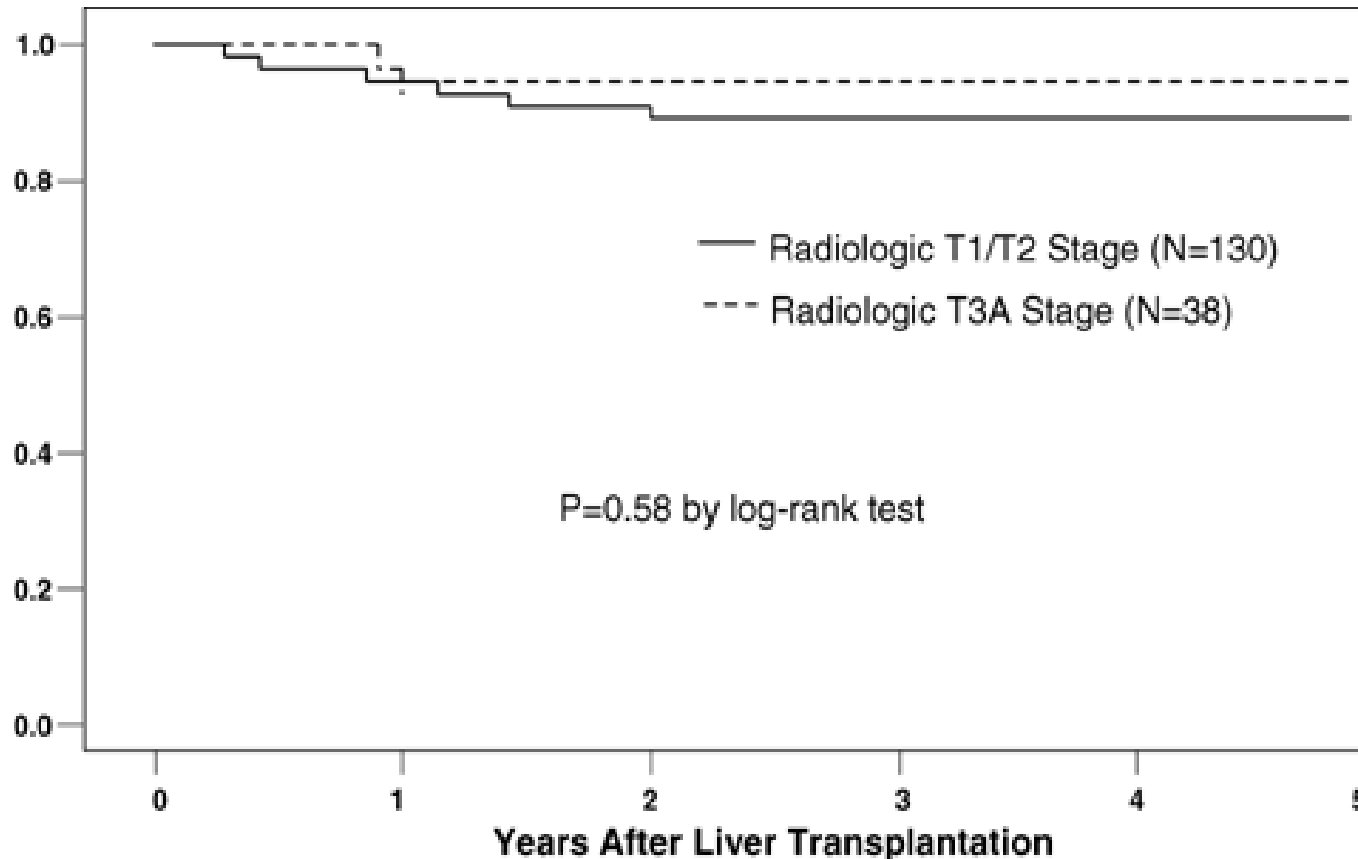




# Evolving criteria for patient selection over time

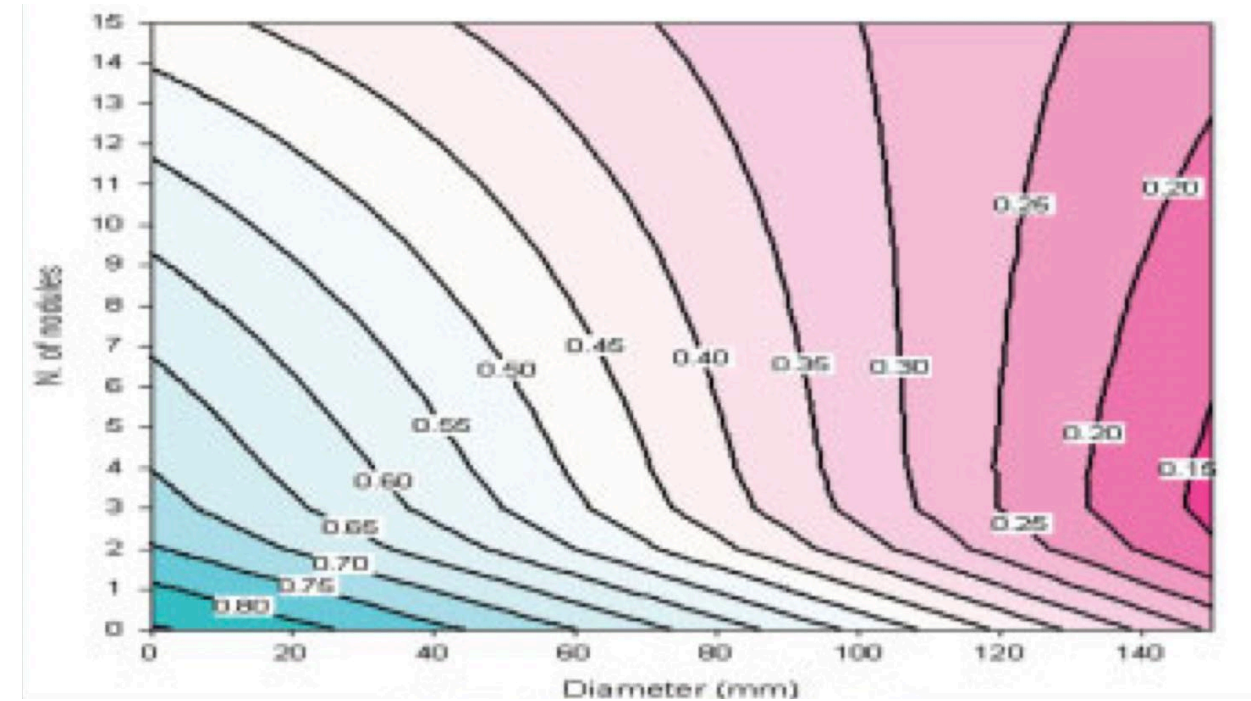
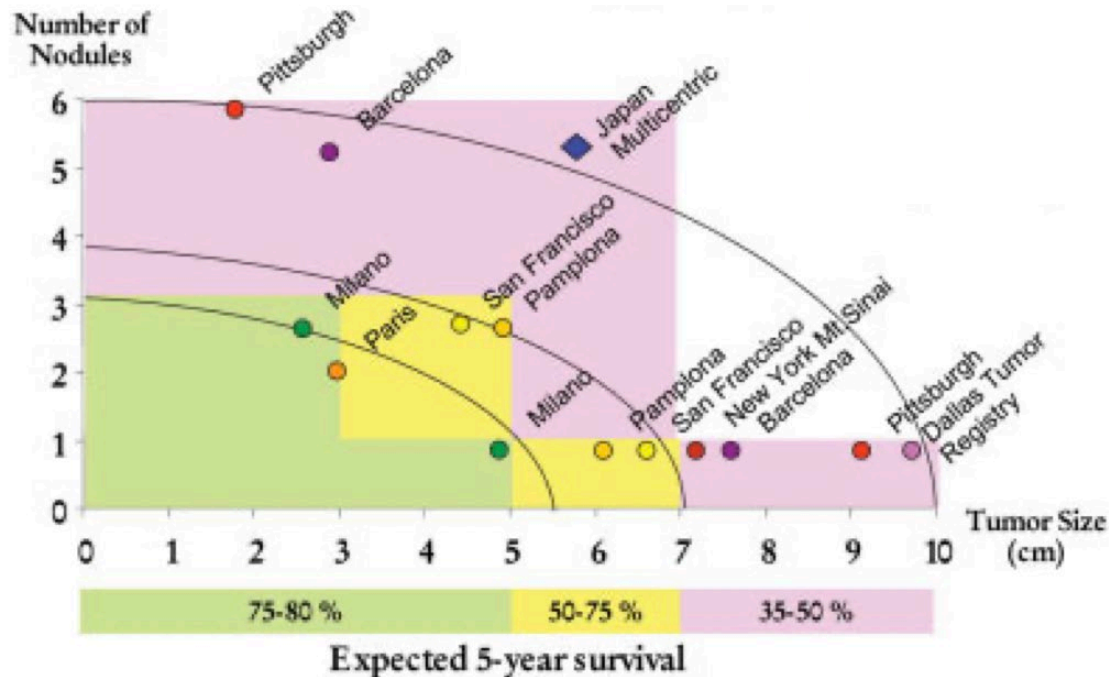


# Good post-transplant outcomes possible with expanded criteria



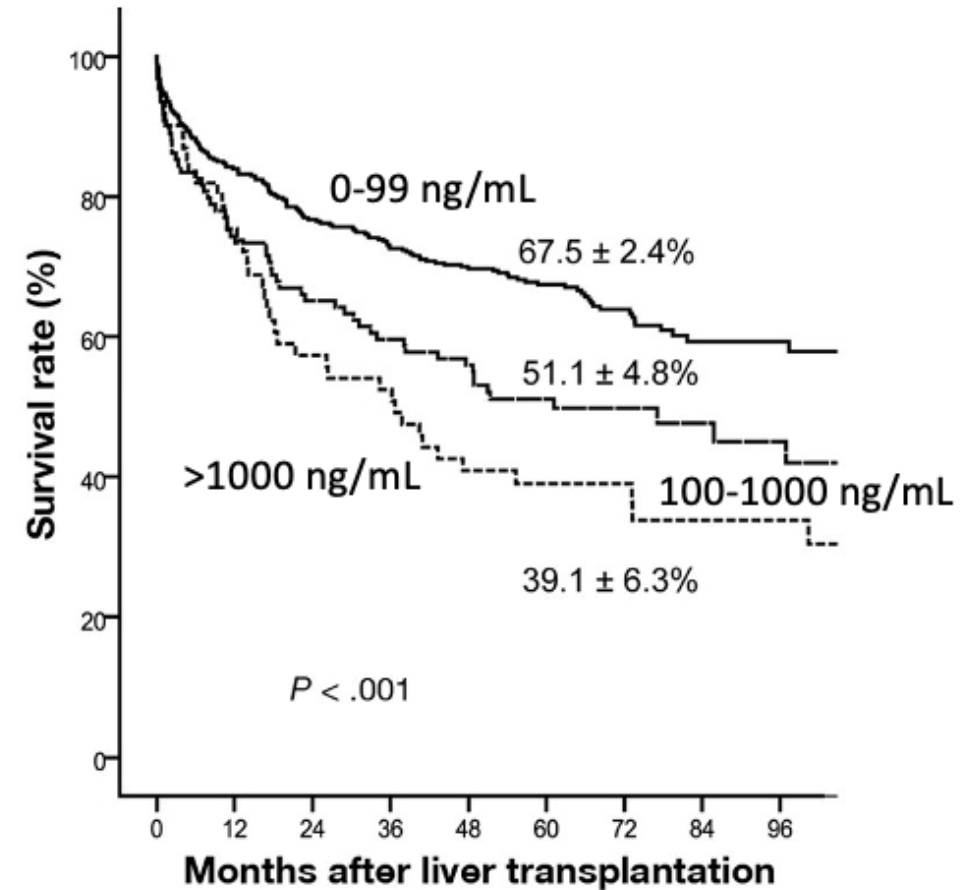
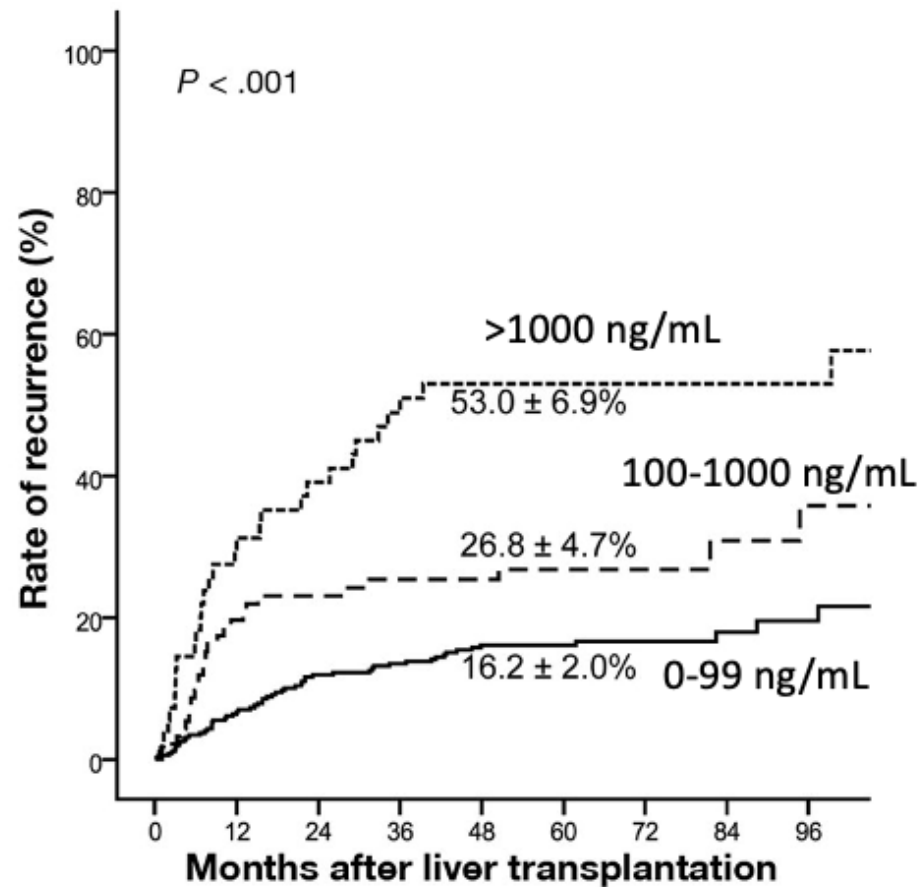
- Prospective validation of UCSF Criteria
  - One tumor  $\leq 6.5$  cm or 2-3 tumors, each  $\leq 4.5$  cm and TTV  $\leq 8$  cm
- Cohort of 168 patients, including 38 with T3A tumors
- 1- and 5-year recurrence free survivals for T1/T2 vs. T3A were 95.7% vs. 96.9% and 90.1% vs. 93.6%, respectively

# Original MetroTicket showing interaction of tumor number and size



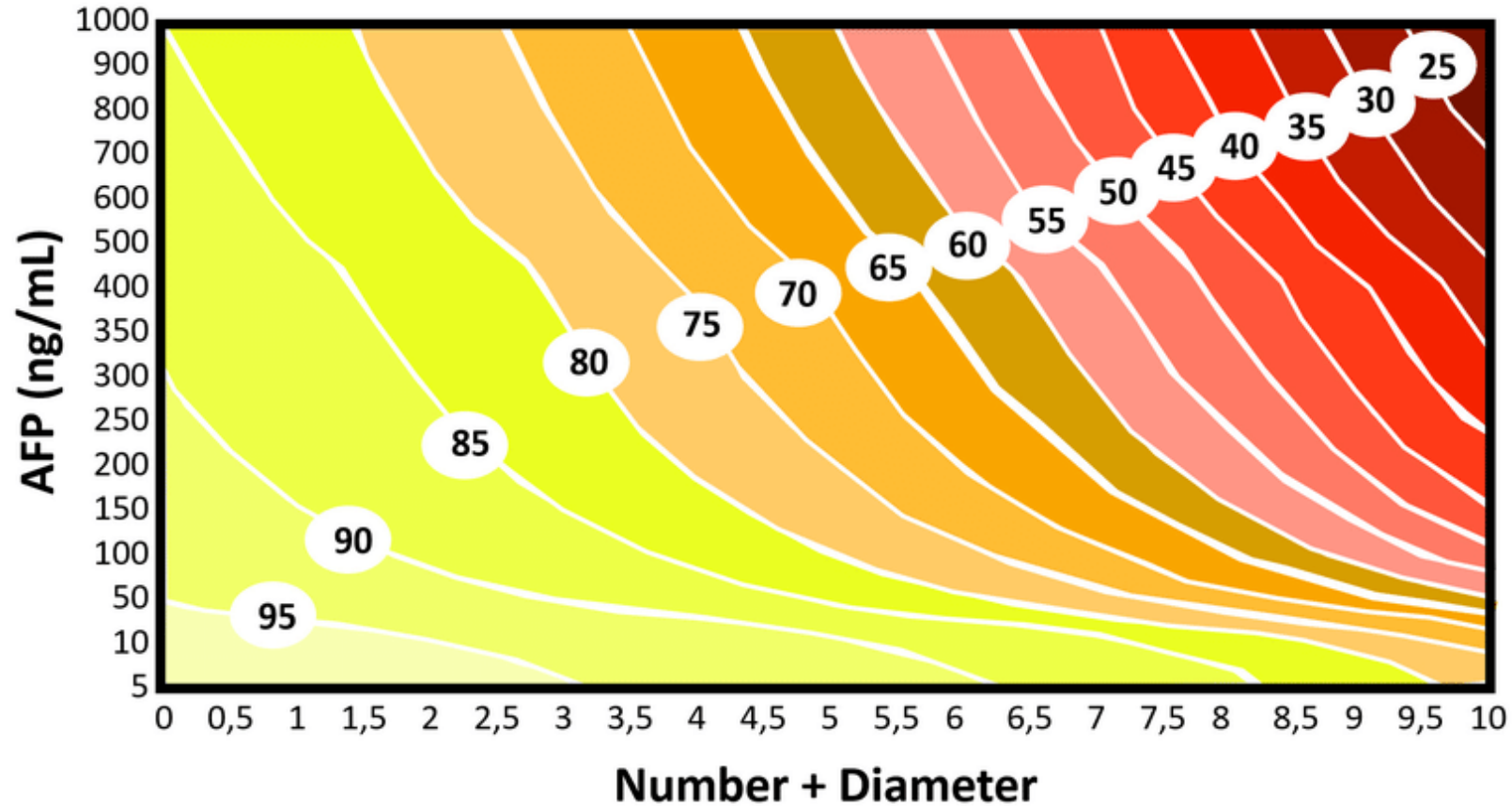
*The longer the trip, the higher the price*

# AFP levels are independently associated with post-OLT survival



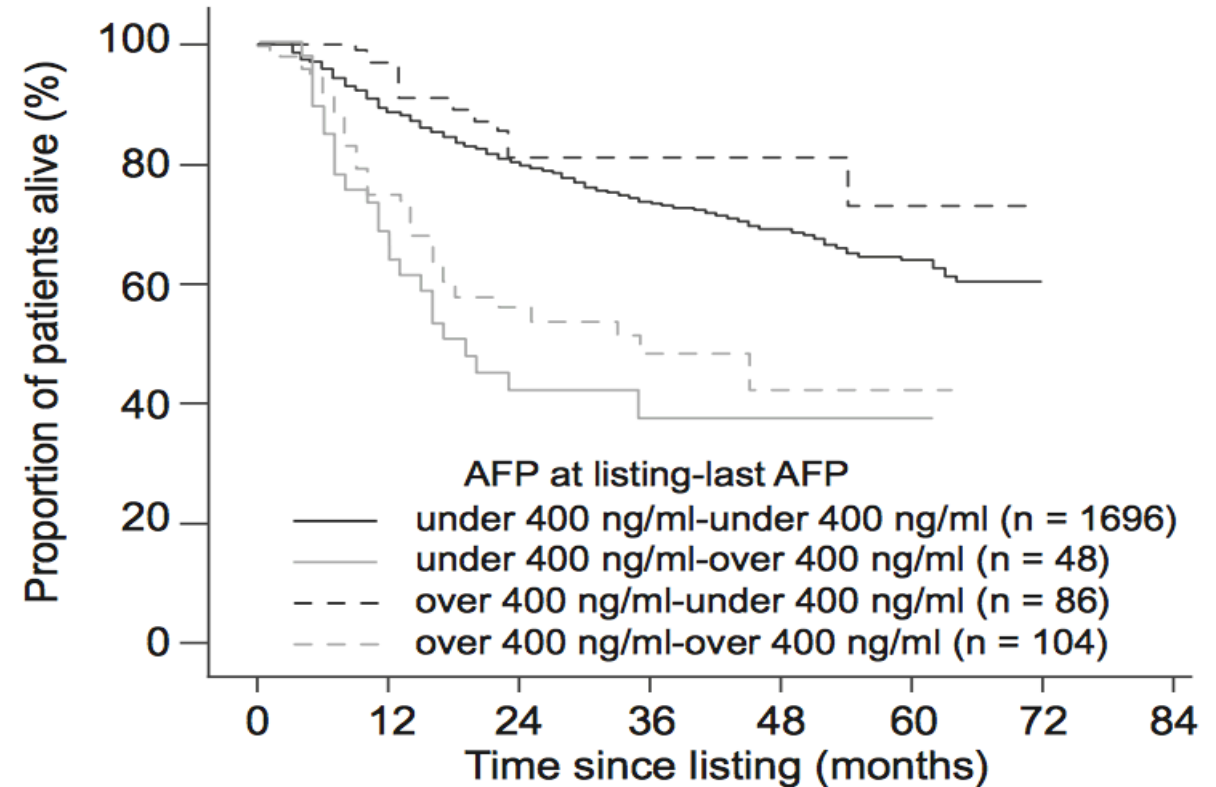
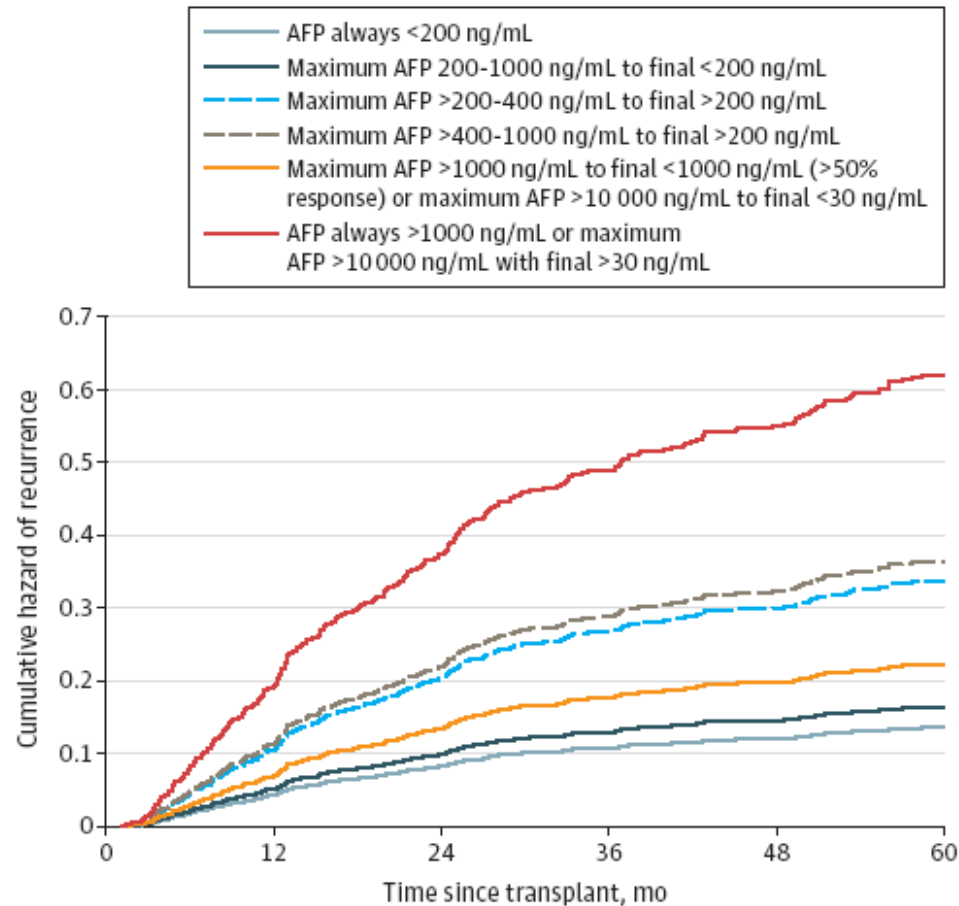
- Cohort of 597 patients with HCC transplanted between 1998-2001 at 16 centers in France
- AFP associated with post-OLT recurrence and survival

# MetroTicket 2.0 includes AFP levels to predict HCC-related survival



***The longer the trip, the higher the price***

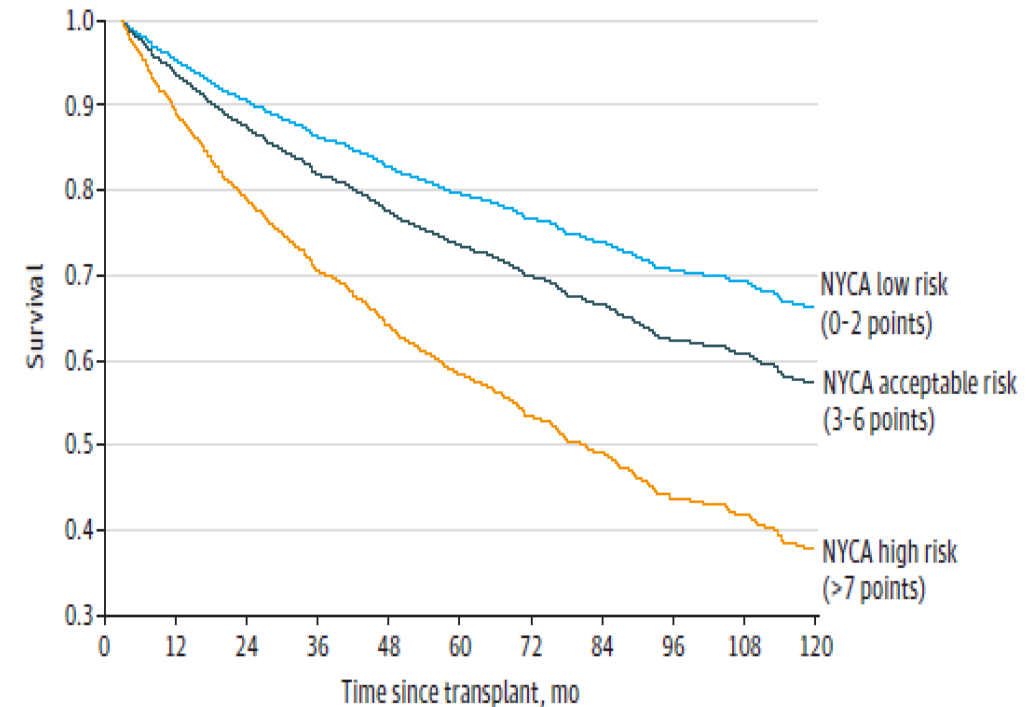
# Longitudinal changes in AFP associated with prognosis



# NYCA Criteria incorporates longitudinal change in AFP

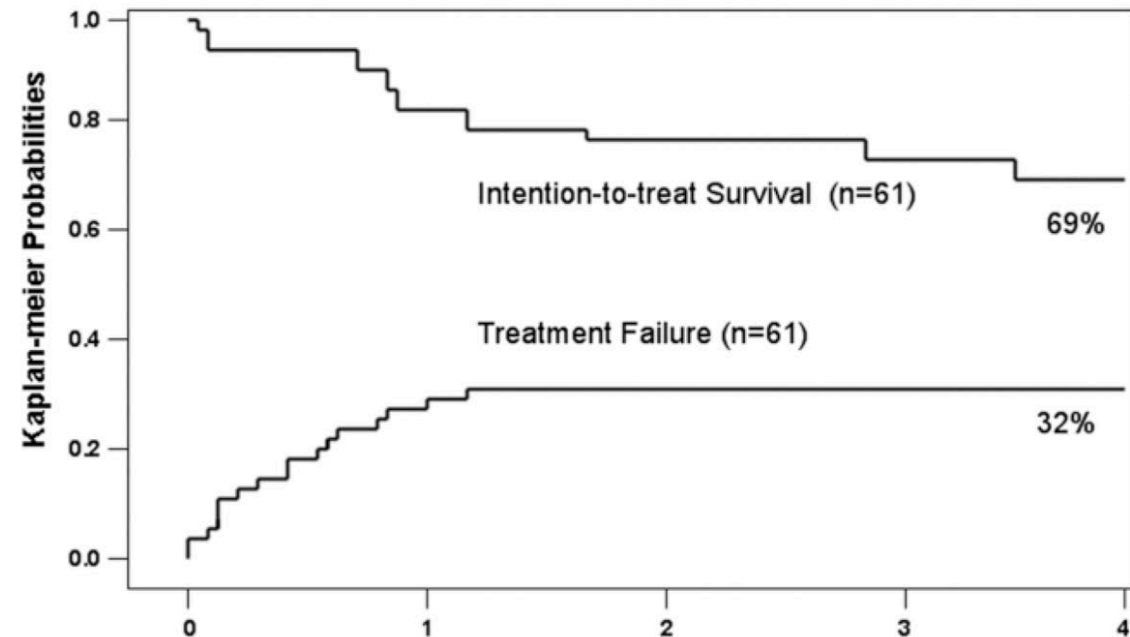
- Prospective database of 2236 patients undergoing transplant 2001 – 2013 at 8 centers
  - 545 beyond Milan
- NYCA score generated with points per factor
- NYCA risk category (low, acceptable, high) associated with recurrence-free survival

| Factors affecting 5-y RFS                                  | NYCA score |
|--|------------|
| <b>Maximum tumor size at diagnosis, cm</b>                 |            |
| 0-3 (Reference)  | 0          |
| >3-6   | 2          |
| >6   | 4          |
| <b>Maximum tumor No. at diagnosis</b>                      |            |
| 1 (Reference)  | 0          |
| 2-3  | 2          |
| ≥4   | 4          |
| <b>AFP response (maximum to final AFP level)</b>           |            |
| AFP always <200 ng/mL                                      | 0          |
| Responders   |            |
| Maximum >200-1000 to final <200 ng/mL                      | 2          |
| Maximum >1000 to final <1000 ng/mL (must be >50% decrease) | 2          |
| Nonresponders  |            |
| Maximum >200-400 to final >200 ng/mL                       | 3          |
| Maximum >400-1000 to final >200 ng/mL                      | 4          |
| Maximum >1000 to final >1000 ng/mL                         | 6          |
| Recurrence risk, NYCA score                                |            |
| Low  | 0-2        |
| Acceptable   | 3-6        |
| High   | ≥7s        |



# Downstaging (treatment response) can help select ideal patients

- Downstaging can provide potential curative option to patients beyond Milan, who do not traditionally have a curative treatment option
  - Differs from bridging therapy used in patients with T2 HCC
- Theoretically selecting patients with favorable tumor biology and lower risk of post-transplant recurrence
- Prospective cohort of 61 patients with HCC beyond T2 between 2002 – 2007
- Minimum observation period of 3 months
- DS success in 70.5% and 57% underwent OLT
- 4-year post-OLT survival: 92%

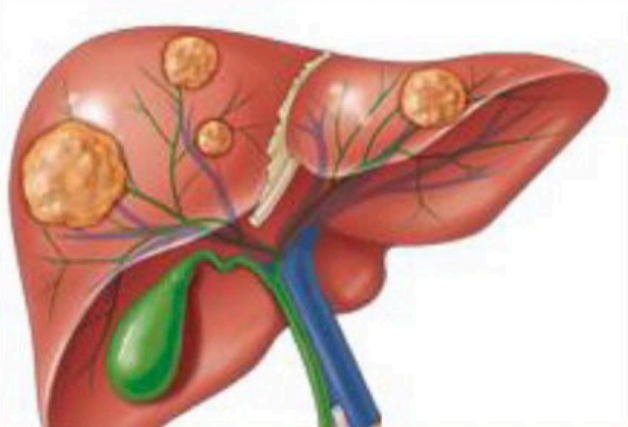




# MERITS-LT Consortium Experience using UNOS-DS Criteria

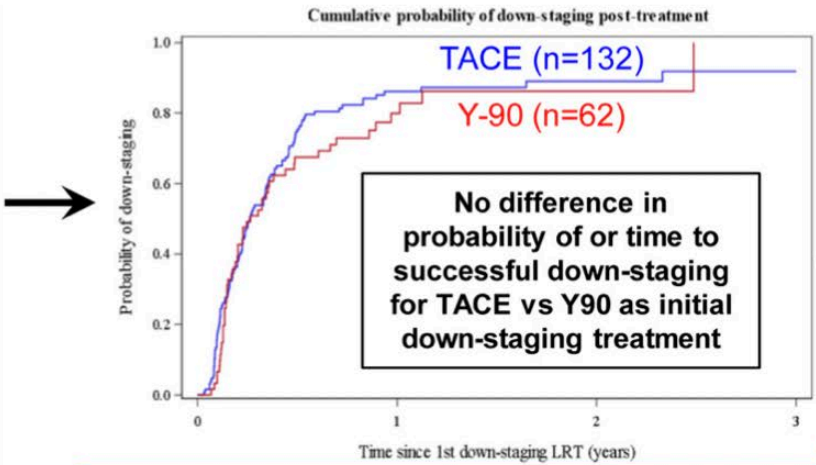
Multicenter study of 194 patients undergoing downstaging between 2016-2019

**HCC meeting UNOS-DS criteria\***



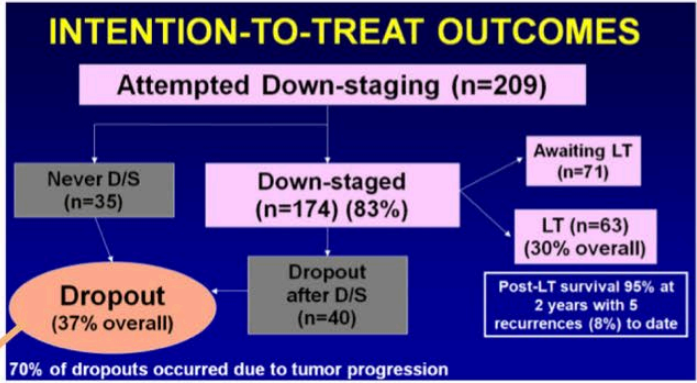
**UNOS-DS inclusion criteria\***

- 1 lesion > 5 cm and ≤ 8 cm
- 2 or 3 lesions ≤ 5 cm w/ total diameter ≤ 8 cm
- 4 or 5 lesions ≤ 3 cm w/ total diameter ≤ 8 cm
- No vascular invasion or extrahepatic spread



**Protocol Dropout**

- ❖ No difference in dropout probability for TACE vs Y90 as 1st down-staging treatment
- ❖ Pre-tx AFP-L3 ≥10% (competing risks HR 3.7, p=0.02) only variable associated w/ dropout

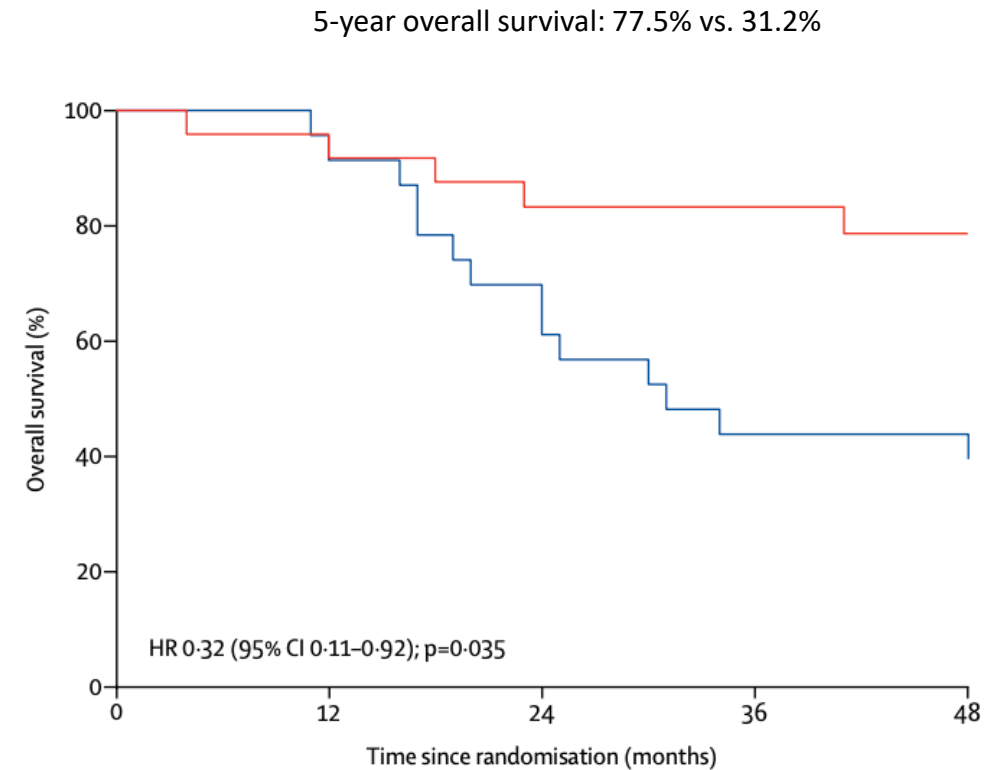
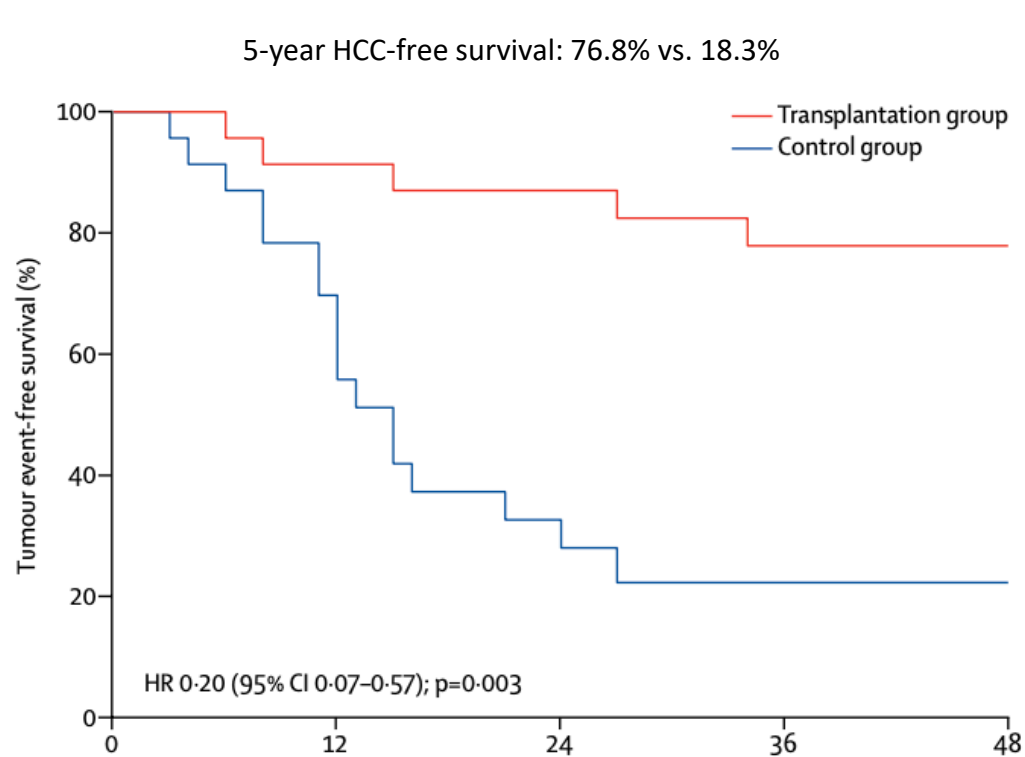


**Overall intention-to-treat survival**

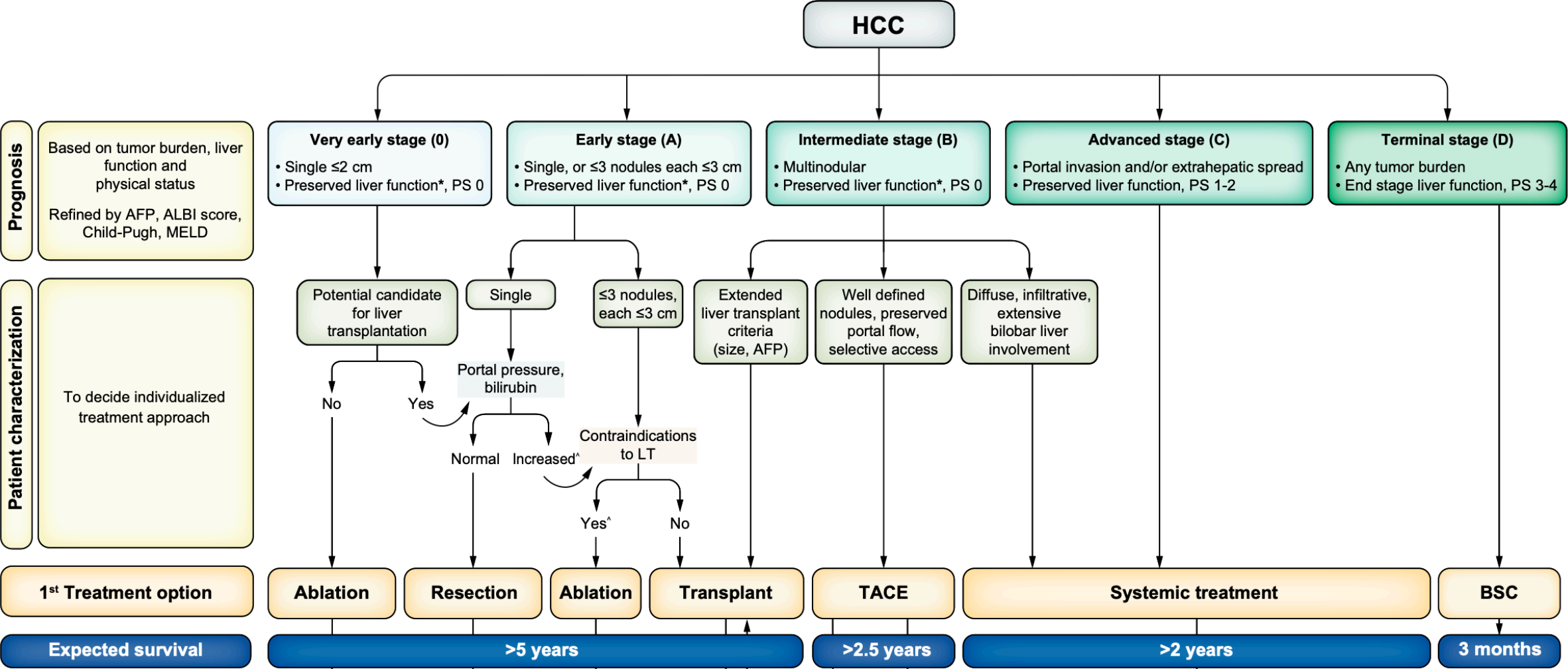
92% at 1 year and 72% at 3 years from 1<sup>st</sup> down-staging treatment

# Benefits of downstaging: The XXL Trial

Open-label, multicenter phase 2/3 RCT among patients with liver-localized HCC beyond Milan Criteria  
Patients with response after downstaging therapies were randomized to liver transplant or non-transplant therapy  
After 29 patients failed downstaging, 45 patients randomized to transplant vs. non-transplant therapy

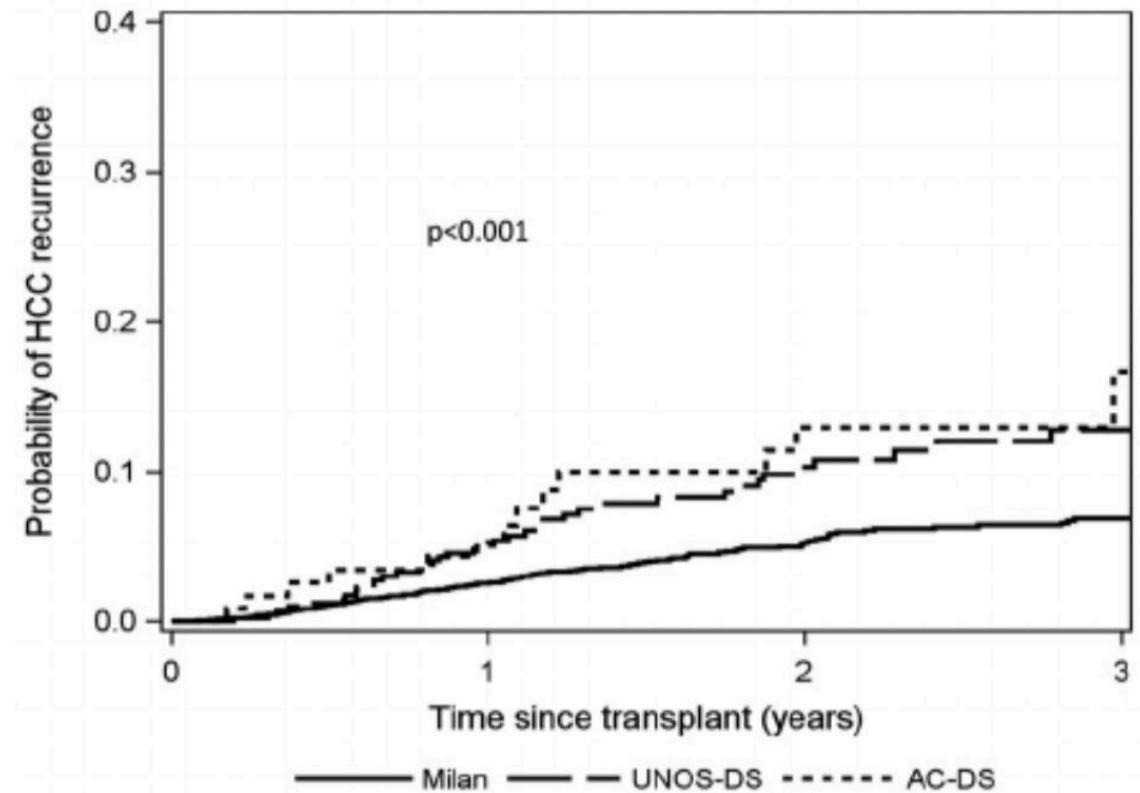
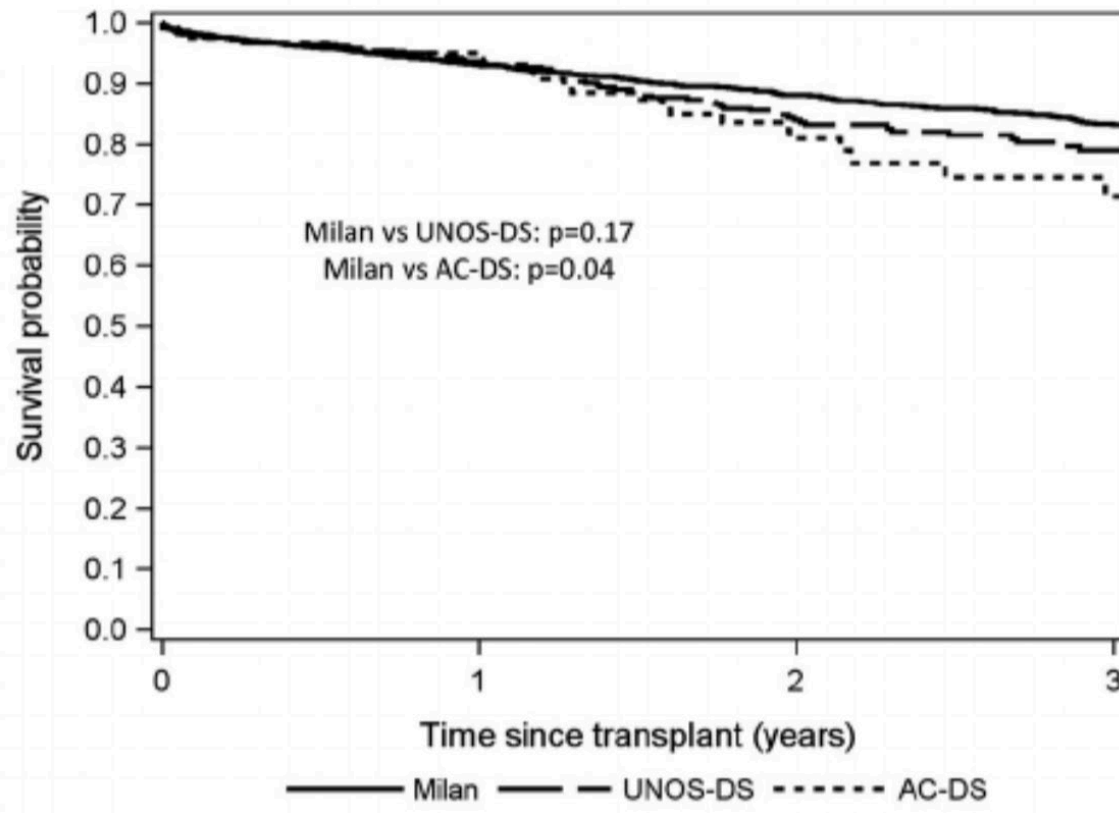


# Expanded criteria now recognized in BCLC 2022 Update

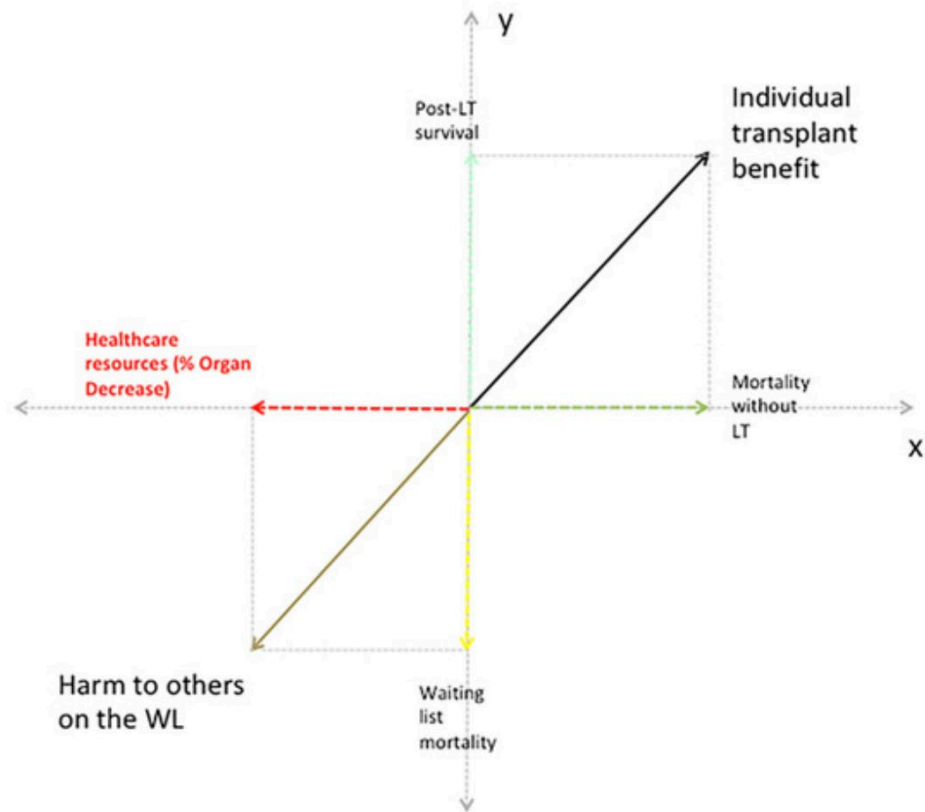


# Patients within UNOS-DS achieve better survival than AC-DS

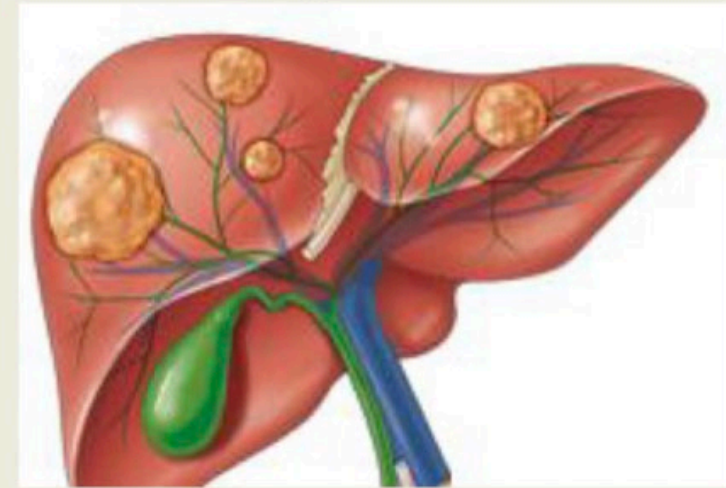
Multicenter study of patients undergoing LT from 2012-2015 comparing downstaged patients (n=422) vs. within Milan (n=3276) vs. beyond Milan (n=121)



# Ethical framework of net benefit demands good organ stewardship



## HCC meeting UNOS-DS criteria\*



## UNOS-DS inclusion criteria\*

- 1 lesion  $> 5$  cm and  $\leq 8$  cm
- 2 or 3 lesions  $\leq 5$  cm w/ total diameter  $\leq 8$  cm
- 4 or 5 lesions  $\leq 3$  cm w/ total diameter  $\leq 8$  cm
- No vascular invasion or extrahepatic spread

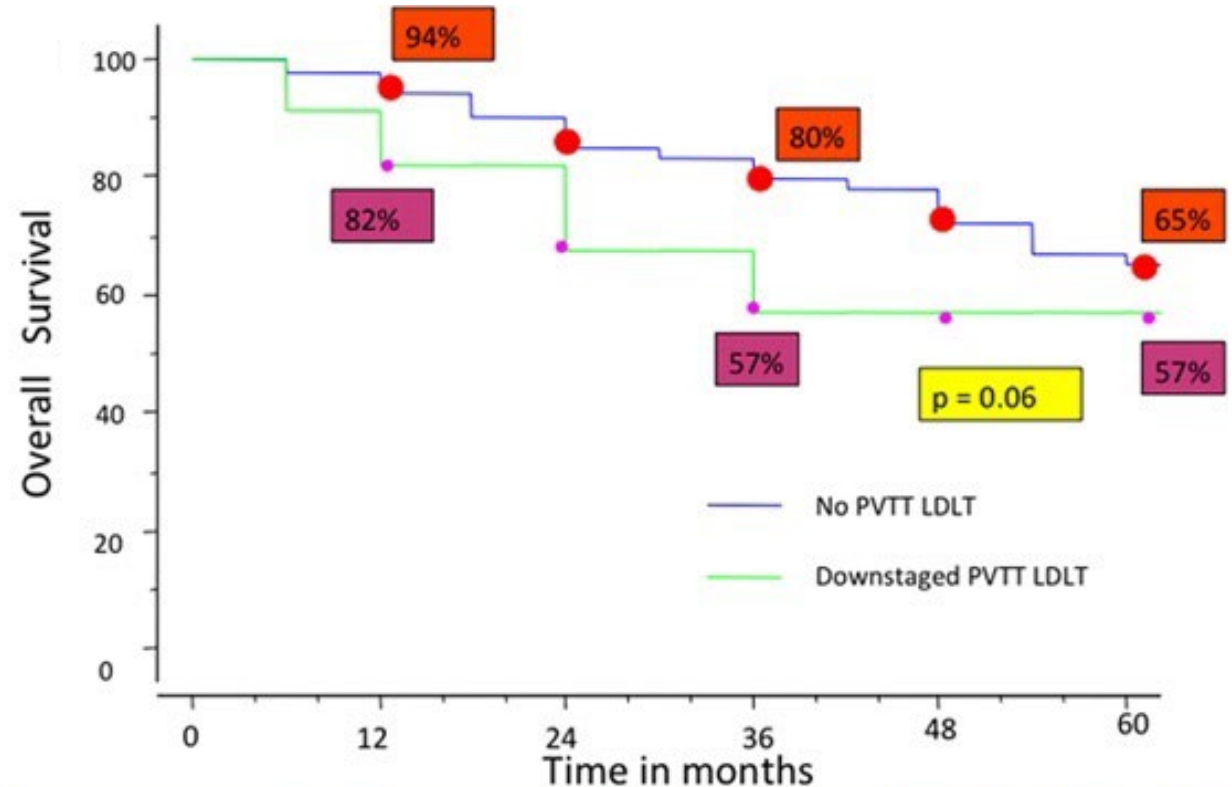
Living donor LT offers a pathway to patients beyond UNOS-DS

# Immune checkpoint inhibitors induce objective responses in one-third of patients so revisiting role of transplant in select patients

| Outcome                         | RECIST 1.1               |                        | mRECIST                  |                        |
|---------------------------------|--------------------------|------------------------|--------------------------|------------------------|
|                                 | Atezo + Bev<br>(n = 326) | Sorafenib<br>(n = 159) | Atezo + Bev<br>(n = 325) | Sorafenib<br>(n = 158) |
| <b>Confirmed ORR,% (95% CI)</b> | <b>30 (32-35)</b>        | <b>11 (7-17)</b>       | <b>35 (30-41)</b>        | <b>14 (9-20)</b>       |
| CR, n (%)                       | 25 (8)                   | 1 (< 1)                | 39 (12)                  | 4 (3)                  |
| PR, n (%)                       | 72 (22)                  | 17 (11)                | 76 (23)                  | 18 (11)                |
| SD, n (%)                       | 144 (44)                 | 69 (43)                | 121 (37)                 | 65 (41)                |
| DCR, n (%)                      | 241 (74)                 | 87 (55)                | 236 (73)                 | 87 (55)                |
| PD, n (%)                       | 63 (19)                  | 40 (25)                | 65 (20)                  | 40 (25)                |
| <b>Median DoR, mos (95% CI)</b> | <b>18.1 (14.6-NE)</b>    | <b>14.9 (4.9-17.0)</b> | <b>16.3 (13.1-21.4)</b>  | <b>12.6 (6.1-17.7)</b> |

# Living donor liver transplant may offer pathway for selected patients beyond UNOS-DS

- Study comparing survival post LDLT among 23 patients with PVTT who underwent downstaging and LDLT vs. 382 patients without PVTT
- 5-year OS were 65% vs. 57% ( $p=0.06$ )
- 5-year recurrence-free survival were 66% vs. 51% ( $p=0.33$ )
- When including an additional 20 patients with PVTT who underwent LDLT, 5-year survival was worse (65% vs. 50%,  $p=0.006$ )

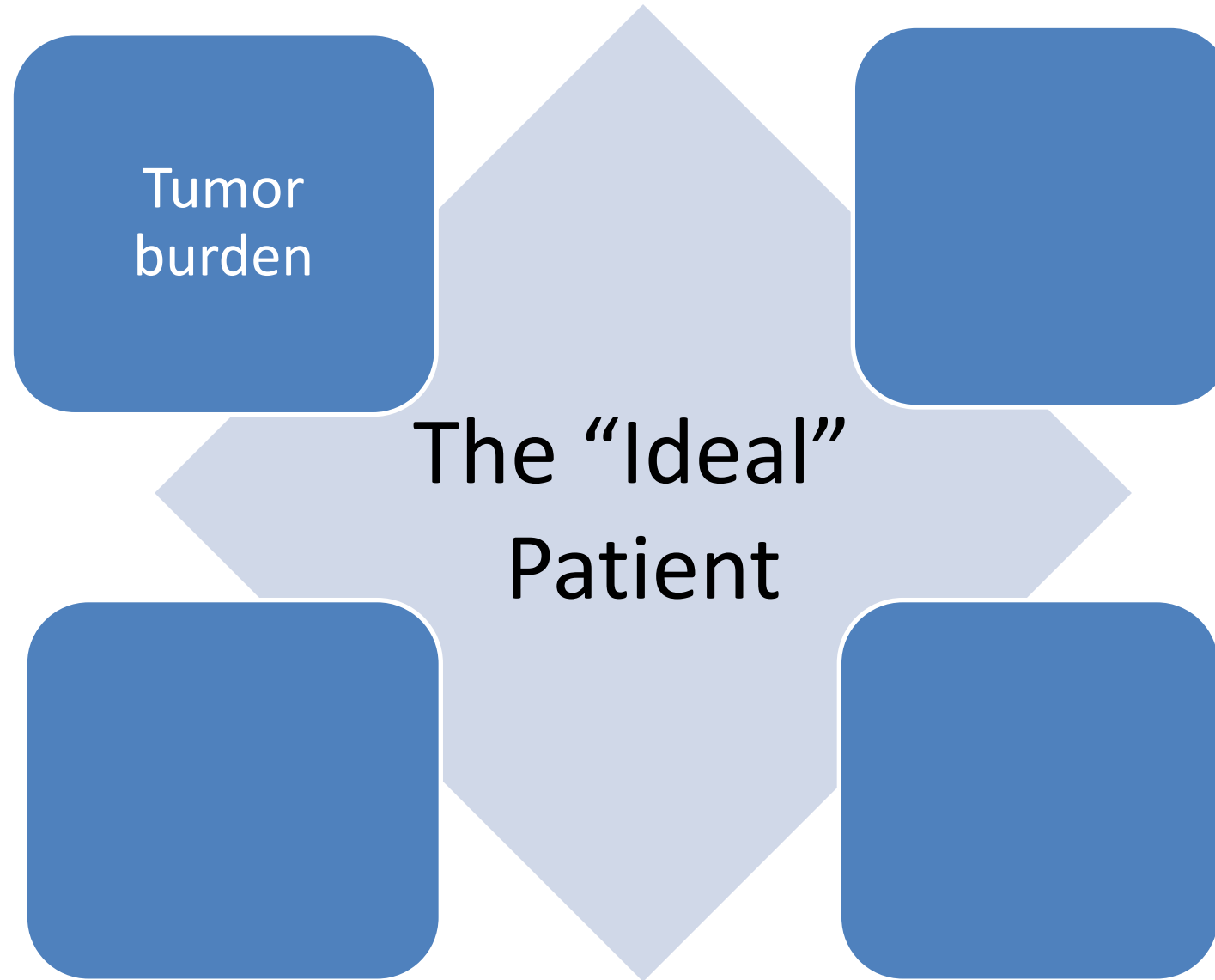


# Recent data suggesting possible safety of immunotherapy prior to liver transplant

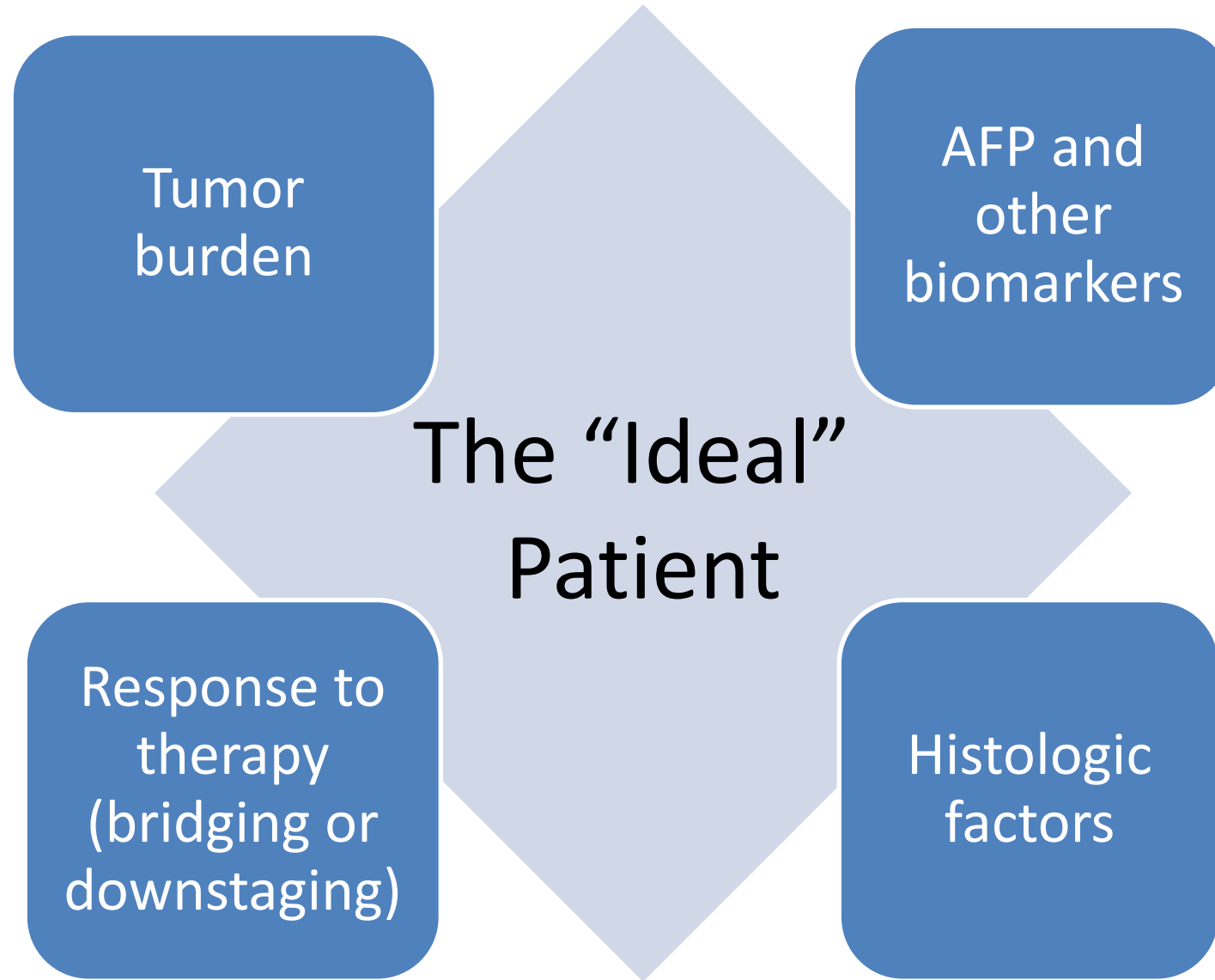
| No. | Age | Gender | ULD      | Max tumor diameter (cm) | Max pre-LT AFP | No. of LRT | Salvage/type transplantation | Pathology Milan in/out | Cycles | Nivolumab (days pre-LT) | PRBC (U) | Duration of follow-up post LT (months) | Complication | Rejection                   | Recurrence |
|-----|-----|--------|----------|-------------------------|----------------|------------|------------------------------|------------------------|--------|-------------------------|----------|--|--------------|-----------------------------|------------|
| 1   | 69  | M      | None     | 10                      | 3              | 2          | Yes/LDLT                     | Milan out within UCSF  | 21     | 18                      | 0        | 23                                     | None         | None                        | None       |
| 2   | 56  | F      | HCV      | 5.4                     | 4.4            | 2          | No/DDLT                      | Milan out within UCSF  | 8      | 22                      | 14       | 22                                     | None         | None                        | None       |
| 3   | 58  | M      | HBV      | 21                      | 9.4            | 6          | Yes/DDLT                     | Milan in               | 32     | 1                       | 30       | 22                                     | None         | None                        | None       |
| 4   | 63  | M      | HCV, HIV | 4.4                     | 507            | 7          | No/DDLT                      | Milan in               | 4      | 2                       | 15       | 21                                     | None         | None                        | None       |
| 5   | 30  | M      | HBV      | 3.2                     | 1493           | 2          | Yes/DDLT                     | Milan in               | 25     | 22                      | 0        | 16                                     | None         | Mild (low tacrolimus level) | None       |
| 6   | 63  | M      | HBV, HIV | 2                       | 158            | 0          | No/DDLT                      | Milan in               | 4      | 13                      | 1        | 14                                     | Bile leak    | None                        | None       |
| 7   | 66  | M      | HBV      | 2.5                     | 479            | 2          | Yes/DDLT                     | Milan in               | 9      | 253                     | 7        | 14                                     | None         | None                        | None       |
| 8   | 55  | F      | HBV      | 2.8                     | 820            | 3          | No/DDLT                      | Milan in               | 12     | 7                       | 0        | 8                                      | None         | None                        | None       |
| 9   | 53  | F      | NASH     | 8.7                     | 124            | 1          | Yes/DDLT                     | Milan out within UCSF  | 2      | 30                      | 17       | 8                                      | None         | None                        | None       |



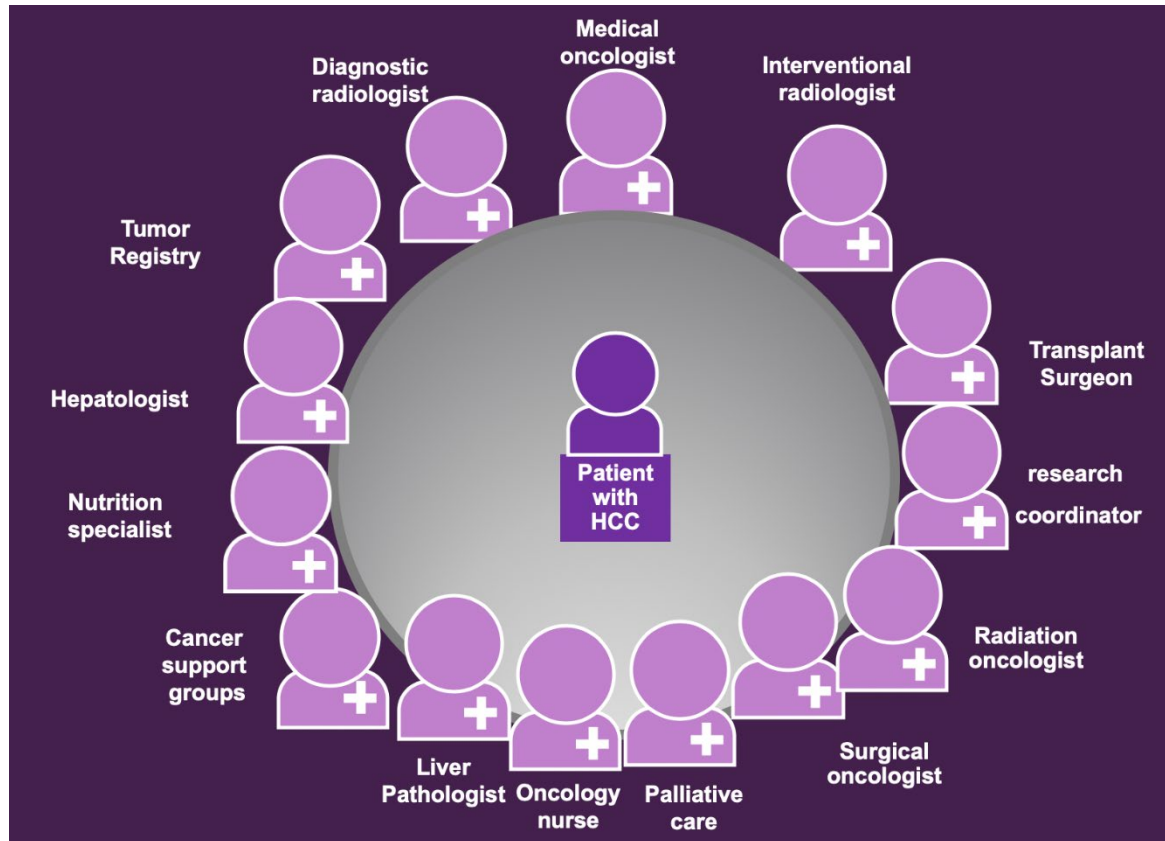
# Patient selection is driven by multiple surrogates of tumor biology



# Patient selection is driven by multiple surrogates of tumor biology



# Decisions should be made in multidisciplinary setting



| Study                | Description                               | Outcomes   |
|----------------------|---|--|
| Serper 2017 (n=3988) | Multi-specialty evaluation or tumor board | Increase HCC treatment receipt and improve survival                          |
| Yopp 2014 (n=355)    | Single day MDT clinic and conference      | Improve early detection, curative treatment, time to treatment, and survival |
| Zhang 2013 (n=343)   | Single day MDT clinic                     | Changed imaging/pathology interpretation and therapy plan                    |
| Chang 2008 (n=183)   | Fluid referrals and joint conference      | Improve early detection, curative treatment, and survival                    |

# Patient case

- 54-year-old male with history of hepatitis C cirrhosis, compensated, s/p sustained virological response
- Initially was followed closely with HCC surveillance but then lost to follow-up
- Presented two years later with incidental HCC
  - Two lesions, 4.5 cm and 3 cm, (both LR-5)
- Child Pugh A – Bili 1.2, Alb 3.0, INR 1.1, platelet count 72
- AFP 12 ng/mL
- Actively working, ECOG 0

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- Child Pugh A – Bili 1.2, Alb 3.0, INR 1.1, platelet count 72
- AFP 12 ng/mL → Low AFP
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- AFP 12 ng/mL → Low AFP
- Actively working, ECOG 0
- Treated with TACE and partial response (One lesion, 2 cm viable disease)
- Listed and underwent liver transplantation, doing well with no recurrence

# Summary

- There have been notable changes in the role of liver transplantation for HCC
- Increasing recognition that ideal patient selection extends beyond tumor number and size
- Important to assess tumor biomarkers (e.g., AFP), response to locoregional therapy, +/- histology
- Doing so allows us to extend benefits of liver transplantation to a greater number of patients